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PTO/SB/05 (03-01)

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UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. 108298508US1

First Inventor David Q. Wright

Title PLANARIZING MACHINES AND METHODS FOR MECHANICAL AND/OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES

Express Mail Label No. EL669035345US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 24]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 4]

5. Oath or Declaration [Total Pages 1]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ADDRESS TO: Box Patent Application
Commissioner for Patents
Washington, DC 20231

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 565,639 filed on 5/4/00
Prior application information: Examiner George A. Goudreau Group / Art Unit: 1763
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.
☐ Claims the benefit of Application No. _____

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

25096

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

Name (Print/Type) Paul T. Parker

Registration No. (Attorney/Agent) 38,264

Signature [Signature]

Date January 24, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David Q. Wright
 Title : PLANARIZING MACHINES AND METHODS FOR
 MECHANICAL AND/OR CHEMICAL-MECHANICAL
 PLANARIZATION OF MICROELECTRONIC-DEVICE
 SUBSTRATE ASSEMBLIES
 Docket No. : 108298508US1
 Date : January 24, 2002

Box Patent Application
 Commissioner for Patents
 Washington, DC 20231

AUTHORIZATION FOR EXTENSIONS OF TIME UNDER 37 C.F.R. § 1.136(a)(3)
AND FEE TRANSMITTAL

Sir:

With respect to the above-identified application, the Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0665.

With respect to the above-identified application, the fee is calculated below.

| For | Number filed | Number extra | | Rate | | |
|--------------------|-----------------|-----------------|---|-------|---|--------|
| Basic Fee | | | | | | \$ 740 |
| Total Claims | 19 | 0 | X | \$ 18 | = | \$ 0 |
| Independent Claims | 3 | 0 | X | \$ 84 | = | \$ 0 |
| Assignment | | | | \$ 40 | = | \$ 0 |
| TOTAL FILING FEE | | | | | | \$ 740 |

Enclosed is a check in the amount of \$740 to cover the requisite fees.

The Commissioner is additionally authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required, or credit any overpayment, to Deposit Account No. 50-0665. A duplicate copy of this request is enclosed.

Date January 24, 2002

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